

03/5/19.



Duration: 2 ½ hours

Marks: 75

Q.1 : State the following statements are true or false. (Any eight) (08)

1. The price band in book building is decided by the issuing company in consultancy with merchant bankers.
2. T + 2 settlement cycles in a stock exchange means, the trade is settled 2 days after the trade.
3. The safer the debt instrument, the lower is the rate of interest.
4. Equity shares are also known as ordinary shares.
5. SEBI was the regulation of Indian Capital Market.
6. BSE was set up in the year 1875.
7. The customer needs to open demat account to trade in the market.
8. The index of NSE is called Nifty.
9. Forged shares and stamp duty on transfer of shares in dematerialized form problem have been eliminated by depositories.
10. Callable bonds are also known as redeemable bonds

B) Match the columns. ( ANY SEVEN ) (07)

A	B
1. FII	a. Foreign Institutional Investors
2. NASDAQ	b. SEBI guidelines 2013
3. Nifty	c. Shares issues to employees
4. ESO pls	d. Employee stock option scheme
5. Sweat equity	e. Right of voting
6. Indian market	f. 22 stock exchanges
7. Listing	g. New York City
8. Equity shares	h. 50 stocks representation
9. Alteration of share capital	i. passing a resolution at general meeting
10. BIG Board	j. New York stock exchange

Que. No. 2 A) Explain the development of equity culture in india (08)

b) Explain the meaning and definition of Equity shares (07)

OR

c) Explain the process of JPO (08)

d) Explain the functions of Stock Exchange (07)

Q.No 3 a) List out the salient features of Primary Market? (08)

b) Explain the role of Brokers in the Secondary Market? (07)

OR



- c) Explain the process of listing the securities as per SEBI (08)
- d) Explain Channelizing Domestic Saving and Investments? (07)

- Q.No. 4 a) Explain Sebi Entry Norms for Primary Market (08)
- b) Discuss BOLT & NEAT (07)

OR

- c) Explain the Issue of Capital And Disclosure Requirement (08)
- d) Explain the role of FDI/FII in the promotion of Equity Markets (07)

- Q, No.5 a) Explain the features of equity market (08)
- b) Explain the Trade-off Between Ownership and Management in Companies? (07)

OR

- Q.No. 5 Short Notes any (3) (15)
1. Online trading
  2. ESOP
  3. Investors Attraction to Equity
  4. Rights Issue of Shares
  5. Delisting of Securities
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