

- N.B. 1. All questions are compulsory. 2. Figures to the right indicate full marks.
3. Answer each question on a new page.

Q.1A **Fill in the blanks**, Answer any 08 of the 10:

(8)

- 1.A.a Insurance is a _____ service.
1.A.b _____ risk affects organization due to change in Legislation and Law.
1.A.c Voting rights are offered with _____.
1.A.d _____ are the risk free investment.
1.A.e AMFI controls the working of _____.
1.A.f Forex means _____.
1.A.g _____ accounts allow overdraft facility.
1.A.h Risk _____ means curing of the effectiveness of a risk.
1.A.i BSE is a _____ Stock Exchange.
1.A.j The full form of NSE is _____ Stock Exchange.



Q.1B **State whether True or False**, Answer any 07 of the 10:

(7)

- 1.B.i Saving Deposits gives overdraft facility.
1.B.ii Blue Chip Shares are usually referred to as financially sound companies.
1.B.iii SCRA has power to delist securities from Stock Exchange.
1.B.iv Ethical practices are covered under corporate governance.
1.B.v Suppliers is not treated as stake holders.
1.B.vi Derivatives are contracts which is derived from underlying assets.
1.B.vii Legal risk refers to the risk of Exchange currency.
1.B.viii Compliance procedure is mandatory to be followed by every corporate.
1.B.ix SIPs are provided by Mutual Fund Schemes.
1.B.x Investment in equity shares gives fixed return.

Q.2a Explain SCRA. What are its important roles.

(15)

OR

Q.2b Explain the Stock listing and delisting procedures in Stock Exchanges?

(8)

Q.2c What is the basic requirement for Recognizing Stock Exchanges?

(7)

Q.3a Explain the concept of Risk. What do you understand by:

(15)

I. Interest rate risk.

II. Political Risk.

OR

Q.3b What is Corporate Governance? Why is it important?

(8)

Q.3c Who are the various stake holders of a company? What are their expectations?

(7)

Q.4a What is meant by "Investment Avenues"? What are the various types of Investment Avenues?

(15)

OR

Q.4b What is Investments? Explain the Objectives of Investments.

(15)

Q.5 Explain the relationship between Savings and Investments?

(15)

OR

Q5 Write explanatory notes on **any Three**:

(5 marks each)

5a. Types of stock.

5b. Banking Companies.

5c. Foreign Exchange risk.

5d. Certificate of Deposits.

5e. Features of preferred Stock.
