

09/3/20

Duration: 2 ½ Hrs.

Marks: 75



- a) All questions are compulsory and subjected to internal choice.
- b) Each question carry 15 marks.

Q1. A) Fill in the blanks: (Any 8)

(08 Marks)

1. \_\_\_\_\_ are transactions or short-term loans in which two parties agree to sell and repurchase the same security.
2. \_\_\_\_\_ market deals with short term lending and borrowing.
3. FERA was replaced by \_\_\_\_\_.
4. \_\_\_\_\_ is regulatory body for commodities markets.
5. \_\_\_\_\_ are constructed to measure the price movements of shares, bonds and other types of instruments in markets.
6. \_\_\_\_\_ are dealers who purchase and sell securities on their own names.
7. \_\_\_\_\_ is an amount borrowed or lent on demand for a very short period.
8. The external factor that affects the industry as a whole is termed as \_\_\_\_\_ risk, in capital market analysis.
9. The entire pre-issue share capital other than locked in promoter's contribution, shall be locked in for the period of \_\_\_\_\_.
10. \_\_\_\_\_ is primarily done in two different ways to make profits from the futures market.

Q1. B) State whether the following statements are true or false: (Any 7)

(07 Marks)

1. Commodity Futures traders are required to deposit high margins.
2. Foreign Exchange Market in India operates under the state govt.
3. If a person is a 'bull', then they expect prices to rise.
4. Options contracts are on the underlying futures contract and not the commodity itself.
5. A stock market index is a measurement which indicates the nature, direction and extent of day to day fluctuations in the stock prices.
6. The trades relating to rolling settlement are settled on T+1 day basis.
7. RBI became the public sector bank from 1<sup>st</sup> Jan., 1949.
8. SEBI does not help in prohibiting insider trading in securities.
9. Capital and money market are one and the same.
10. Companies Act 2013 replaced Companies Act 1956.

Q2. A) What are the constituents of Indian Financial System?

(08 Marks)

Q2. B) What are the functions of financial system?

(07 Marks)

OR

Q2. C) What is money market? What is the role of money market ?

(15 Marks)

Q3. A) What are the features of derivatives? What is the need for derivatives?

(08 Marks)

Q3. B) What are the features of foreign exchange market?

(07 Marks)

OR

Q3. C) Distinguish between currency forwards and currency futures.

(15 Marks)

Q4. A) Write a note on National Stock Exchange.

(08 Marks)

Q4. B) What are the powers and functions of SEBI?

(07 Marks)

F.Y.B.I.M.

SEM - II

Intro. to Financial Markets

ATKT  
PUBIM

OR

RW 309

Q4. C) What is stock exchange? What are the features and functions of stock exchange? (15 Marks)

Q5. A) Write a note on Reserve Bank of India. (15 Marks)

OR

Q5. B) Write short notes: (Any 3) (15 Marks)

- i) Features of financial services
- ii) Participants in capital market
- iii) Challenges faced by the financial sector
- iv) Underlying assets
- v) IPO Process

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