

F.Y. BFM - SEM I - Reg. Exam - Oct' 2019
INTRODUCTION TO FINANCIAL SYSTEM
1.10.19 ATK

I.F.S.

Time : 2.5 hours

Marks : 75

Note : 1) All questions are compulsory with internal choice.

2) Figures to the right indicate marks



Q1. A) Fill in the blanks : (Any - 8)

(8 Marks)

1. The development in telecommunication was named as the era of _____
2. Financial Markets in the United States are the largest and most _____ in the world.
3. Money Market is a _____ market.
4. Investments based on some underlying assets are known as _____
5. _____ plays an active role in money market.
6. The main objectives of IRDA is to protect interest of _____
7. Financial assets are _____ assets.
8. The bank for international settlement was established in 1930 in _____ in Switzerland.
9. Secondary markets are also classified as organized _____
10. _____ remains the largest nationalized bank in India.

Q1. A) State whether True or False : (Any - 7)

(7 Marks)

1. Capital market play an important role in policy framing of a country.
2. The main objective of NABARD is Industrial development.
3. American Financial System is market based.
4. The Unorganized Sector is largely made up of commercial banks.
5. Commercial Banks are part of Organised market.
6. Bills of Exchange is a credit Instrument.
7. RBI started functioning from 1st April 1934.
8. MCX is a Stock Exchange.
9. Venture Capital is a long term risk capital.
10. LIC is an example of non-banking institutions.

Q2. Explain the government and private owned financial service providers. (15 Marks)

OR

Q2. A) Explain Financial Regulators in detail. (8 Marks)

B) What is Financial Markets? Explain its functions. (7 Marks)

Q3. Explain the meaning of RBI? Discuss the Monetary and Non-Monetary functions of RBI. (15 Marks)

OR

Q3. A) What is Capital Markets? Explain its advantages and disadvantages. (8 Marks)

B) What is Commodity Market? Explain the most popular commodity exchanges around the world along with the major commodities traded. (7 Marks)

Q4. Explain various Financial Intermediaries. (15 Marks)

OR

Q4. A) Explain the entities regulated by IRDA and IRDA regulatory structure. (8 Marks)

B) Explain the meaning of National Housing Bank. State its functions and objectives. (7 Marks)

Q5. A) Explain the meaning of RBI. State how does RBI regulates. (8 Marks)

B) What are Financial Regulators? Explain the key functions performed by the regulators. (7 Marks)



OR

Q5. Short Notes : (Any-3)

(15 Marks)

- a) Types of equity instruments.
- b) Process of issue of an IPO
- c) Forward Market Commission (FMC)
- d) Process of issue of an IPO
- e) SEBI
- f) Ministry of Finance (Mof)

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