

SYBIM sem IV Reg Exam April-2023

TIME ALLOWED: 2½ HOURS

21/4/23

Marks 75

N.B. (1) Q 1 is compulsory.

(2) Q 2 to Q 5 having internal Options.

(3) Figures in the brackets indicates full marks.



Q 1) (A) Match the following (Any 8): (8)

Column A	Column B
(1) BSE	(a) Risky Capital
(2) Savings	(b) State of the art client server
(3) Venture Capital	(c) Sensex
(4) Intra Day Volatility	(d) Share price/ Book Value Price
(5) Regulates Capital Markets	(e) Systematic Risk
(6) Investment with a study of Financial Statement	(f) Income - Consumption
(7) Price to book Ratio	(g) Strong form
(8) Efficient Market Hypothesis	(h) SEBI
(9) BETA	(i) Variation in share price return between two trading days
(10) NEAT	(j) Fundamental Analysis

Q 1) (B) State whether FALSE/TRUE: (Any 7) (7)

- (1) The settlement cycle now is T+1.
- (2) Disinvestment refers to privatizing public sector enterprises in a phased manner.
- (3) Zero growth rate model assumes that dividend grow by a specific percent annually.
- (4) An investment is the employment of the funds on assets with the aim of earning income as well as capital appreciation.
- (5) The Random walk theory is not based on the efficient market hypothesis.
- (6) Depositories hold the shares in dematerialized form for the investors.
- (7) Private placement means the issuing company does not offer the securities to investors in general.
- (8) Stock analyst cannot give investor goods share tips which help investor in investment process.
- (9) Clearing banks are a key link between the clearing members and clearing corporation to effect settlement of funds.
- (10) Cash basis is also known as fixed delivery basis.

Q 2) (A) Define FDI. Explain the advantages of FDI? (8)

(B) Explain the types of issue of shares in Indian Capital Market (7)

OR

(C) State the role of SEBI in regulating Indian Capital Market (8)

(D) What do you mean by retail investors? State the different types of retail investors? (7)

Q 3) (A) Explain in detail Price Earning Model? (8)

(B) What do you mean by macro-Economic analysis with respect to fundamental analysis? (7)

OR

(C) Explain the tools of fundamental analysis? (8)

(D) What are the market related factors that affects investment decisions? (7)

- Q 4) (A)** Explain in detail "Stochastic Model" (8)
(B) Explain the three forms of the Efficient Market Hypothesis? (7)

OR

- (C)** Explain in detail "Beta" with suitable examples (8)
(D) Explain the Brownian Motion and its limitations? (7)

- Q 5) (A)** State the procedure of buying and selling of shares (8)
(B) Explain the objectives and the types of market in the NEAT system (7)

OR

Q 5) Write short notes (Any 3):

- (a)** Foreign Institutional Investments
- (b)** Constitution of SEBI
- (c)** Industry Analysis
- (d)** Sub-broker
- (e)** NSCCL