

S.Y.B.F.M SEM- IV Equity Market - II

Time: 2 ½ Hours

Total Marks: 75

- N.B: 1) All questions are compulsory with internal choice
2) Figures at right indicates full marks for question.

Q.1. (a) Select the correct alternative and rewrite the sentences (any eight) (8)

1. Registrar and Transfer (R&T) agents are identified as _____ by the SEBI Act and are regulated by SEBI.
a) Broker b) Investor c) client d) intermediaries
2. _____ is the macroeconomic factor affecting share prices.
a) Management Profile. b) PE Ratio.
c) Dividend d) Government interference.
3. The screen based trading system introduced by the NSE is called as _____.
a) BOLT. b) NEAT c) Bloomberg d) Sub-brokers.
4. _____ is the leading stock exchange covering various cities and towns across the country.
a) BSE b) NSE c) SBE d) KSE
5. _____ is the nodal agency to regulate capital market and other related issues in India.
a) RBI. b) GOI c) SEBI d) NBFC
6. _____ issue is when a listed company proposes to issue fresh securities to its existing shareholders.
a) Initial public offering b) Dividend c) Right Issue d) Sweat Equity
7. The settlement cycle is now _____.
a) T + 1. b) T + 2 c) T + 3 d) T + 4
8. _____ test co – relates price change in one period with another period.
a) Runs test b) Serial co-relation test c) Rules test d) Filter test
9. The oldest approach to common stock selection is _____.
a) Fundamental analysis b) Technical analysis
c) Random walk analysis d) Value analysis.
10. _____ is a contract which derives its value from the prices or index of prices of underlying securities.
a) Equity shares b) Debentures c) Derivatives d) Preference Shares.



Q.1. (b) Match the Column (any seven)

(7)

Column (A)	Column (B)
a) Firm related factors SEBI.	i) Agent of stock brokers
b) Custodian	ii) Economic issues
c) Sub-brokers	iii) 2000
d) DEA	iv) Value of shares
e) IRDA	v) Current Assets / Current liabilities
f) Book value per share	vi) Hold securities
g) Current ratio	vii) Use own names for transaction
h) Depository	viii) Safe keeping of securities
i) Money market	ix) Total net assets / Total outstanding shares
j) Jobbers	x) Short term borrowings



Q.2. a) What are the procedure for dealing in stock exchange.

(7)

b) Write about private placement.

(8)

OR

Q.2. c) What are the stock market quotations.

(7)

d) What are the strength of fundamental analysis.

(8)

Q.3. a) Write advantages of Dematerialization.

(7)

b) Briefly explain various market participants in equity market.

(8)

OR

Q.3. c) Briefly explain macroeconomic factor which influences the equity share prices.

(7)

d) Write weakness of Technical analysis

(8)

Q.4. a) Disadvantage of Demat.

(7)

b) What are the causes of Volatility?

(8)

OR

Q.4. c) What are the tools of fundamental analysis?

(7)

d) Explain in detail major stock exchanges in India.

(8)

Q.5. a) Write about IPO process.

(7)

b) Distinguish between Primary market and secondary market.

(8)

OR

Q.5 write short notes. (any 3)

(15)

1. Players in stock market

2. Role of brokers.

3. RBI

4. Functions of BOLT

5. Brownian Motion.