

S.Y.BFM

Sem-III BFM.

Time: 2 ½ Hours

A.T.K.T. Exam - 17.3.23

Total Marks: 75

N.B: 1) All questions are compulsory carrying 15 marks each.

Equity Mkts.

Q.1. (a) Select the correct alternative and rewrite the sentences (any eight)

(8)

1. The settlement cycle is now _____.
a) T+1. b) T+2
c) T+3 d) T+4
2. _____ is calculated by dividing annual dividend per share by price per share.
a) P/E ratio. b) ROE
c) EPS d) Dividend Yield
3. _____ introduced the screen trading and settlement system, supported by a state-of-the-art technology platform.
a) BSE. b) NSE
c) OTC d) NEAT
4. _____ in organized market for the purchase and sale of securities of joint stock companies, government and semi- government bodies.
a) Capital Market. b) Money Market
c) Secondary Market d) Stock Exchange
5. An _____ is a kind of employee benefit plan, similar in some ways to a profit sharing plan.
a) Red Herring Prospectus. b) Sweat equity
c) ESOP d) Book building
6. _____ is Dollar denominated receipt.
a) ADR. b) GDR
c) IDR d) FCCB
7. Bank nifty is an example of _____ index.
a) Capitalization. b) Sector
c) Fixes income d) Thematic
8. The BSE Sensex consist of a basket of _____ stocks.
a) 50. b) 100
c) 30 d) 66.
9. The IPO of a bank process is ultimately regulated by the _____.
a) RBI. b) SEBI
c) AMFI d) CBI
10. _____ agrees to take a specified number of shares or debenture offered to a public, if the issue is not fully subscribed.



- b) Underwriters
- c) Forfeiting

- b) Merchant Bankers
- d) Factoring

Q.1. (b) Match the column (any seven)

(7)

Column (A)	Column (B)
a) Bulls	i) Index.
b) Bear.	ii) T+2.
c) Stag	iii) NEAT.
d) Stock Exchange	iv) Members of Stock Exchange.
e) ROE	v) Stock Market Indicators
f) Market Capitalization	vi) Return on Equity
g) BSE Sensex	vii) Rise in Share price.
h) Rolling Settlement	viii) Fall in Share price.
i) Title Bar	ix) Independent Shares.
j) Jobber	x) Market for purchase & sale of securities..

- Q.2. a) Distinguish between primary market and secondary market. (7)
 b) Explain the functions of Stock Exchange. (8)

OR

- Q.2. a) Explain powers and functions of SEBI? (7)
 b) Explain the Book Building process in IPO. (8)

- Q.3. a) Explain IDR and its benefits? (7)
 b) Parties involved in ADR/ GDR issue. (8)

OR

- Q3. a) What are various operations carried out in the secondary market. (7)
 b) Trace the development of equity market culture in India. (8)

- Q4. a) What are the various benefits of listing securities. (7)
 b) Explain in detail the regulatory framework for financial market. (8)

OR

- Q4. a) Explain various types of instruments that could be issued in equity markets globally. (7)
 b) What is ADR? Describe its different levels. (8)

- Q5. a) Explain various classes of shares as per Peter Lynch. (7)
 b) Explain in detail clearing and settlement process. (8)

OR

Q.5 Write Short Notes. (any 3)

(15)

1. Owned and borrowed capital
2. Fundamental and Technical analysis
3. Right issue
4. Powers and Functions of SEBI
5. Market participants equity