

Time: 2hrs. 30min.

SYBIM Sem3 FC-III Exam Oct. 2022.

Max. Marks: 75

- Note:- 1. All Questions are compulsory. 2. Figures to the right indicate full marks.  
3. Illustrate answers with examples, cases where necessary. 4. Answer each question on a **new page**.  
5. Each Answer must start with the correct question number with sub-No. in the margin.

**Q.1A Answer any 8 of the 10 fill in the blanks with the appropriate words:**

(8)

- 1.A.a. SCRA is aimed at the prevention of \_\_\_\_\_ transactions. (a. Undesirable; b good)  
1.A.b. Give the full form of NSE. (a. Nominal stock exchange b National stock exchange)  
1.A.c. Higher risk is associated do it greater probability of higher \_\_\_\_\_ (a. Losing; b return;)  
1.A.d. \_\_\_\_\_ risk applies when you own foreign investments. (a. Currency b. liquidity)  
1.A.e. Insurance is a \_\_\_\_\_ Service. (a. Financial; b non-financial; c technical)  
1.A.f. \_\_\_\_\_ risks are associated with low potential returns. (a. Low, b. high, c. market)  
1.A.g. Member means member of \_\_\_\_\_ stock exchange. (a. Bombay, b. recognised, c. Delhi)  
1.A.h. Voting rights are offered with \_\_\_\_\_ (debentures, bonds, equity shares)  
1.A.i. AMFI controls the working of \_\_\_\_\_. (a. Markets, b Mutual funds, c Forex)  
1.A.j. \_\_\_\_\_ accounts allows overdraft facility. (a. Savings, b Current)

**Q.1B Answer any 7 of the 10 stating whether the following are True of False**

(7)

- 1.B.i. SCRA has powers to delist securities from stock exchange.  
1.B.ii. Investment in bonds gives fixed returns.  
1.B.iii. Derivatives and contracts, which derived from underlying assets.  
1.B.iv. Financial services are the basis of insurance.  
1.B.v. SIPs are provided by mutual fund schemes.  
1.B.vi. Blue chip shares are usually referred to as financially sound companies.  
1.B.vii. Legal dress refers to the risk of exchange currency.  
1.B.viii. Convertible and Non-convertible are types of bonds.  
1.B.ix. Suppliers are not treated as stakeholders.  
1.B.x. Diversification helps to reduce the unsystematic risk.

**Q.2a** What do you mean by SCRA? What are its important functions?

15

OR

**Q.2b** Explain the stock listing and delisting procedure for company in stock exchange?

**Q.3a** Explain the concept of risk? What do you understand by:

15

1. Interest rate risk. 2. Political risk.

OR

**Q.3b** What is corporate governance? Why is it important?

**Q.4a** What are "investment avenues"? What are the types of investment avenues?

15

OR

**Q.4b** What is investment? Explain the objectives of investment.

**Q5.a** Explain briefly "Financial literacy".

(8)

**Q5.b** Explain "Blue Chip" shares?

(7)

OR

**Q.5** Right brief explanatory notes on any **three**:

(5 marks each)

- 5a. Types of stock. 5b. Foreign exchange risk. 5c. Market risk.  
5d. Bullion as investment. 5e. Fixed deposits.

