

S.Y.BIM-SEM III - A.T.K.T. Exam - Feb'20

Mutual Fund Mgmt.
13.2.20

Total Marks: 75

Duration: 2.5 hrs

SYBIM SEM III

A.Y. 2019-2020

Subject: Mutual Fund Management

NB: 1. All questions are compulsory

2. All questions carry equal marks

3. Figures to the right indicate maximum marks



Q1. (A) State whether the statements is True or False (solve any 8 out of 10) (08 marks)

1. Safety on Equity is low.
2. Volatility in bonds is moderate.
3. Volatility on corporate debentures is moderate.
4. Liquidity is low in company fixed deposits.
5. Liquidity is high in mutual funds.
6. Safety is high in gold.
7. Return is low in bank deposits.
8. Volatility is low in real estate.
9. Liquidity is moderate in PPF.
10. Safety is high in Bonds.

Q1. (B) Match the column (solve any 7 out of 10) (07 marks)

Sr. No	Column A	Column B
1	SEBI	Asset Management Companies
2	RBI	Good Conduct
3	AMFI	Systematic Transfer Plan
4	MoF	Self-Regulatory Organisation
5	ROC	Unit Trust of India
6	UTI	Registrar of Companies
7	SRO	Ministry of Finance
8	STP	Association of Mutual Fund of India
9	Ethics	Reserve bank of India
10	AMC	Securities Exchange Board of India

Q2. (A) What is the objective of Self-Regulatory Organisation? (08 marks)

Q2. (B) Explain the classification of mutual fund. (07 marks)

OR

Q2.(C) Explain Yield to Maturity with suitable examples. (08 marks)

Q2 (D) Explain various steps involved in Financial Planning. (07 marks)

Q3. (A) What is the role of SEBI in ethics? (08 marks)

Q3. (B) Explain entry load and exit load. (07 marks)

OR

Q3. (C) How is the rating of funds done by ICRA? (08 marks)

Q3. (D) Why do we need a financial advisor? (07 marks)

Q4. (A) What are the ethics in mutual fund? (08 marks)

Q4. (B) What are the advantages of Systematic Investment Plan? (07 marks)

OR

Q4. (C) Explain Rolling Returns. (08 marks)

Q4. (D) Explain colour coding of mutual fund products. (07 marks)

Q5. (A) What is model portfolio? Explain the process of building model portfolio. (08 marks)

Q5. (B) Discuss the future of mutual fund in India. (07 marks)

OR

Q5. Short notes (solve any 3) (15 marks)

1. Accounting Norms.
2. Valuation Norms.
3. Systematic Transfer Plan.
4. Debt Funds.
5. Balanced Funds.
