

Time: 2 ½ Hours

Oct-2022

Total Marks: 75

N.B: 1) All questions are compulsory carrying 15 marks each.

Sub: Equity Market

10/10/22

Sem III

Q.1. (a) Select the correct alternative and rewrite the sentences (any eight)

(8)

1. The central banking functions India are performed by the _____.
a) Central Bank of India. b) Reserve Bank of India
c) State Bank of India d) Punjab National Bank
2. _____ act as a intermediary in purchase and sale of securities in the primary and secondary market.
a) Underwriter. b) Merchant Bankers
c) Broker d) Agents
3. _____ is a popular instrument for financing working capital requirement of companies.
a) Commercial papers. b) Certificate of Deposit
c) ADR d) GDR
4. _____ introduced the screen trading and settlement system, supported by a state of the art technology platform.
a) BSE. b) NSE
c) OTC d) NEAT
5. An _____ is a kind of employee benefit plan, similar in some ways to a profit-sharing plan.
a) Red Herring Prospectus. b) Sweat equity
c) ESOP d) Book building
6. _____ is Dollar denominated receipt.
a) ADR. b) GDR
c) IDR d) FCCB
7. Foreign currency convertible Bonds (FCCB) are also known as _____.
a) Euro issues. b) Dollar issues
c) Convertible Credit Securities d) Convertible Credit Bonds
8. _____ is the process of converting physical share into electronic format.
a) Demutualization. b) Privatization
c) Dematerialisation d) Deposit.
9. _____ in organized market for the purchase and sale of securities of joint stock companies, government and semi- government bodies.
a) Capital Market. b) Money Market
c) Secondary Market d) Stock exchange.



10. The _____ provides liquidity to the individual who acquired securities.
- a) Capital Market. b) Money Market
c) Secondary Market d) Stock exchange

Q.1. (b) Match the Column (any seven)

(7)

Column (A)	Column (B)
a) RBI	i) Foreign Currency Convertible Debentures.
b) Right issue of shares.	ii) American Depository Receipt.
c) ESOP	iii) Short term borrowings.
d) Venture Capital	iv) Direct Investors.
e) FCCB	v) Risky Capital
f) ADR	vi) 1935
g) Money Market	vii) Employees Stock Option Scheme.
h) SEBI	viii) Indigenous Bankers.
i) Bulls	ix) Investor Protection.
j) Unorganised Markets	x) Risk in Share Price

- Q.2. a) Elaborate Money Market and its instrument. (7)
b) Define SEBI Explain the objectives of SEBI. (8)

OR

- Q.2. a) What are equity shares and what are its features? (7)
b) Briefly explain various sources of capital in an organization. (8)

- Q.3. What are the Indian primary capital market and its present scenario? (15)

OR

- Q.3. What are RED HERRING Prospectus and its features. (15)

- Q.4. a) Explain IDR and its benefits. (7)
b) Parties involved in ADR/ GDR issue. (8)

OR

- Q.4. a) Distinguish between primary market and secondary market. (7)
b) Explain the functions of Stock Exchange. (8)

- Q.5. a) What are various operations carried out in the secondary market. (7)
b) Trace the development of equity market culture in India. (8)

OR

- Q.5 write short notes. (any 3) (15)
1. Types of Inventory in Equity Market
 2. Primary Market.
 3. Unfair Trade Practices
 4. Powers and Functions of SEBI
 5. Market Participants Equity