

S-Y BFM - SEM III - A.T.K.T. - Feb' 20

Equity Markets - I

12.2.20



Semester End Examination – 2019/20

Subject: Equity Markets – I

Duration: 2.5 hrs

Marks: 75

*All questions are compulsory

Q1. A. Match the following (any 8)

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	GROUP A		GROUP B
1	Treasury Bills	A	Information Document
2	Ex-Dividend	B	International security
3	Turnaround	C	Issue shares to existing shareholders
4	ESOPs	D	T + 2
5	Prospectus	E	Zero coupon bonds
6	Rights Issue	F	Risky Capital
7	Unorganised Market	G	Employee Retention
8	Settlement cycle	H	Sick Company
9	ADR	I	Dividend not available to purchaser
10	Venture Capital	J	Indigenous Bankers

B. State whether the following statements are True or False: (Any 7)

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1. IPO process is governed by SEBI.
2. Money Market deals with securities which are issued for less than 365 days.
3. SENSEX Index comprises of 50 stocks.
4. Book building process has a Cap and a floor price.
5. Offer document contains the price band for the IPO.
6. ESOPs can be sold immediately in the market by the employees after allotment.
7. Traders are intermediaries facilitating buying and selling of shares.
8. The central banking functions in India are performed by the Central Bank of India.
9. Secondary Market provides liquidity to the individuals who acquire securities.
10. Full form for ASBA is Application sum for blocked amount.

Q2. A. Write about the advantages and disadvantages of Equity Shares.

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B. In listed companies, there is separation of ownership from its management. Comment on the stated statement.

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OR

- C. Differentiate between Primary and Secondary Market. 8
- D. Explain Money markets and its different instruments. 7
- Q3. A. What are the contents of Red Herring Prospectus? Discuss in details. 8
- B. Explain Book Building process in details. 7
- OR
- C. Explain ADR and GDR along with their advantages. 8
- D. What is Private Placement? How is it beneficial to the companies? 7
- Q4. A. Explain the various methods of technical analysis. 8
- B. What are the various benefits of listing a securities on a stock exchange? 7
- OR
- C. Explain the major segments of the NEAT screen. 8
- D. Write a note on NSE. 7
- Q5. A. Who are Merchant bankers? What is their role in equity markets? 8
- B. Why is there a need to strengthen Equity Markets? 7
- OR
- Q5. Write short notes on: (Any 3) 15
1. Stock Split
 2. Sweat Equity
 3. Green Shoe Option
 4. Bonus Shares
 5. Offer for sale